

<b>PRE-APPEAL BRIEF REQUEST FOR REVIEW</b>		Docket Number (Optional) <b>03-2644 81693</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]  on _____  Signature _____  Typed or printed name _____	Application Number <b>10/828,408</b>		Filed <b>04/19/2004</b>		
	First Named Inventor <b>LAKSHMANAN, Viswanathan</b>				
	Art Unit <b>2825</b>		Examiner <b>Parihar, Suchin</b>		
<p>Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.</p> <p>This request is being filed with a notice of appeal.</p> <p>The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided.</p> <p>I am the</p> <table style="width: 100%; border: none;"><tr><td style="width: 50%; vertical-align: top; padding-bottom: 10px;"><input type="checkbox"/> applicant/inventor.  <input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)  <input checked="" type="checkbox"/> attorney or agent of record. <b>38657</b> Registration number _____  <input type="checkbox"/> attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 _____</td><td style="width: 50%; vertical-align: top; padding-bottom: 10px; border-left: 1px solid black; padding-left: 10px;"><div style="border-bottom: 1px solid black; margin-bottom: 5px;">/ Eric James Whitesell /</div><div style="text-align: right; margin-bottom: 5px;">Signature</div><div style="border-bottom: 1px solid black; margin-bottom: 5px;">Eric J. Whitesell</div><div style="text-align: right; margin-bottom: 5px;">Typed or printed name</div><div style="border-bottom: 1px solid black; margin-bottom: 5px;">760-720-0268</div><div style="text-align: right; margin-bottom: 5px;">Telephone number</div><div style="border-bottom: 1px solid black; margin-bottom: 5px;">11/13/2006</div><div style="text-align: right; margin-bottom: 5px;">Date</div></td></tr></table> <p>NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.</p>				<input type="checkbox"/> applicant/inventor.  <input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)  <input checked="" type="checkbox"/> attorney or agent of record. <b>38657</b> Registration number _____  <input type="checkbox"/> attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 _____	<div style="border-bottom: 1px solid black; margin-bottom: 5px;">/ Eric James Whitesell /</div> <div style="text-align: right; margin-bottom: 5px;">Signature</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">Eric J. Whitesell</div> <div style="text-align: right; margin-bottom: 5px;">Typed or printed name</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">760-720-0268</div> <div style="text-align: right; margin-bottom: 5px;">Telephone number</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">11/13/2006</div> <div style="text-align: right; margin-bottom: 5px;">Date</div>
<input type="checkbox"/> applicant/inventor.  <input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)  <input checked="" type="checkbox"/> attorney or agent of record. <b>38657</b> Registration number _____  <input type="checkbox"/> attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 _____	<div style="border-bottom: 1px solid black; margin-bottom: 5px;">/ Eric James Whitesell /</div> <div style="text-align: right; margin-bottom: 5px;">Signature</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">Eric J. Whitesell</div> <div style="text-align: right; margin-bottom: 5px;">Typed or printed name</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">760-720-0268</div> <div style="text-align: right; margin-bottom: 5px;">Telephone number</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">11/13/2006</div> <div style="text-align: right; margin-bottom: 5px;">Date</div>				
<input type="checkbox"/> *Total of _____ forms are submitted.					

This collection of information is required by 35 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: LAKSHMANAN, Viswanathan )  
et al. )  
Serial No.: 10/828,408 )  
Confirmation No. : 4517 )  
Filed: April 19, 2004 )  
For: METHOD AND COMPUTER PROGRAM )  
FOR VERIFYING AN INCREMENTAL )  
CHANGE TO AN INTEGRATED CIRCUIT )  
DESIGN )  
Art Unit: 2825 )  
Examiner: Parihar, Suchin )  
Docket No.: 03-2644 81693 )

**CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being electronically transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

November 13, 2006 / Eric James Whitesell /  
Eric J. Whitesell #38657

**BRIEF IN SUPPORT OF PRE-APPEAL REQUEST FOR REVIEW**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the final Office Action mailed on August 15, 2006 and the Advisory Action mailed on October 17, 2006, please enter the following brief in support of the attached Pre-appeal Request for Review. A Notice of Appeal is also submitted herewith.

## ARGUMENTS

### **Morgan does not teach or suggest the claimed list of incremental changes**

Claims 1 and 6 stand rejected under 35 U.S.C. § 103(a) as unpatentable over Morgan, U.S. Patent 6,530,073 (Morgan) in view of Falbo, U.S. Patent Publication 2003/0163791 (Falbo). As explained in the specification on page 11, line 26 to page 12, line 2, the claimed list of incremental changes recited in Claims 1 and 6 includes all polygons added and all polygons deleted from the integrated circuit design by the engineering change order. In contrast to Claims 1 and 6, Morgan does not define incremental changes to the integrated circuit design in terms of adding and deleting polygons. In column 2, lines 50-55 cited in the advisory action, Morgan teaches adding and deleting cells. In contrast to Morgan, Claims 1 and 6 recite adding and deleting polygons. Polygons include features in an integrated circuit design that are not limited to cells. For example, the interconnects between cells are features in an integrated circuit design that include polygons apart from the cells. Accordingly, adding and deleting polygons does not require adding and deleting cells as alleged by the rejection. Because polygons may be added and deleted to an integrated circuit design without requiring the addition or deletion of cells, the addition and deletion of cells described in Morgan are not equivalent to the claimed adding and deleting polygons as alleged by the rejection. Because the addition and deletion of cells described in Morgan are not equivalent to the claimed adding and deleting polygons, Morgan does not teach or suggest the claimed list of incremental changes that includes all polygons added to the integrated circuit design and all polygons deleted from the integrated circuit design as alleged by the rejection.

Further, the incremental changes cited by the rejection in Morgan, column 11, lines 35-40, are not described as being included in a list of incremental changes. Consequently, Morgan does not teach or suggest the claimed list of incremental changes that includes all polygons added to the integrated circuit design and all polygons deleted from the integrated circuit design by the engineering change order. Because Morgan does not teach or suggest the claimed list of incremental changes that includes all polygons added to the integrated circuit

design and all polygons deleted from the integrated circuit design by the engineering change order, Morgan does not teach or suggest step (e) as alleged by the rejection. Because Morgan does not teach or suggest step (e), the modification of Morgan proposed by the rejection fails to arrive at the claimed invention. Because the modification of Morgan proposed by the rejection fails to arrive at the claimed invention, Claims 1 and 6 are not obvious under 35 U.S.C. § 103(a).

**Sung does not teach translating the claimed marked integrated circuit design database to a file in generic data stream format**

Claims 2 and 7 stand rejected under 35 U.S.C. § 103(a) as unpatentable over Morgan in view of Falbo, and further in view of Sung, U.S. Patent Publication 2005/0216872 (Sung). Regarding Claims 2 and 7, the rejection errs in alleging that Sung teaches translating the claimed marked integrated circuit design database to a file in generic data stream format on page 4, paragraph [0044] and in FIG. 5. In contrast to Claims 2 and 7, Sung teaches generating a GDS file from a physical view that represents the cumulative reticle layers that form the semiconductor features on the integrated circuit. The advisory action errs in alleging that translating a netlist is equivalent to translating the claimed marked integrated circuit design database, because a netlist does not necessarily distinguish polygons that were changed from their current state as does the marked integrated circuit design database recited in independent Claims 1 and 6 from which Claims 2 and 7 depend. Because translating a netlist is not equivalent to translating the claimed marked integrated circuit design database, Sung does not teach or suggest translating the claimed marked integrated circuit design database. Because Sung does not translate the claimed marked integrated circuit design database to generate the GDS file, there is no basis for assuming that the resulting GDS file in Sung would be equivalent to the claimed file in generic data stream format. Because there is no basis for assuming that the resulting GDS file in Sung would be equivalent to the claimed file in generic data stream format, Sung does not teach or suggest translating the claimed marked integrated circuit design database to the claimed file in generic data stream format as alleged by the rejection. Because Sung does not teach or suggest translating the claimed marked integrated circuit design database to a file in

generic data stream format as alleged by the rejection, the modification of Morgan proposed by the rejection fails to arrive at the claimed invention. Because the modification of Morgan proposed by the rejection fails to arrive at the claimed invention, Claims 2 and 7 are not obvious under 35 U.S.C. § 103(a).

The fee for a notice of appeal is attached to this amendment.

Respectfully submitted,  
/ Eric James Whitesell /  
Eric J. Whitesell #38657

encl:

- (1) pre-appeal request for review
- (2) notice of appeal

Address all correspondence to:

LSI Logic Corporation  
1621 Barber Lane, M/S D-106  
Milpitas, CA 95035-7458

Direct telephone inquiries to:

Henry Groth  
(408) 433-4578